



REGXONE

Resolving reporting, enabling compliance

EUROMONEY
TRADEDATA

RegXone is a suite of feature rich transaction reporting data available for key futures, options and OTC cleared markets, which complies with the regulatory mandates internationally and is proven in use through its powerful community data model of quality assurance.

The Challenges

Financial institutions have had to transform many legacy operational and business structural issues to comply with stringent new market regulations, where punitive fines for non-compliant transaction reporting is challenging the supply of fit for purpose compliance data.

The new regulations have turned traditional organisational development on its head in order to fully comply in specific markets, by almost prescriptively determining the structure, shape and type of firm that can participate, but whose operation still has to be carefully optimised.

Under market surveillance legislation a Competent Authority can investigate the transaction reporting records of firms up to seven years in arrears and can impose material fines based on their findings, so firms face a potential growing liability for historical transaction reporting, despite signing off on compliant solutions going forward.

Key features:

- Exchange traded and OTC futures and options
- Global position limits
- MiFID ISIN, CFI, Asset Class Categorisations and ToTV indicators
- EMIR RTS 2.0 Delivery Point, Duration, Days of the Week and Load Delivery Intervals
- EMIR ISIN, CFI, Commodity Base and Commodity Details
- CFTC Exchange and Commodity Codes, Large Trader Reportable Levels, Foreign and Trade Organisation Product Allocations
- IRS 871 (m) reporting eligibility indicator
- Data in widespread community use.

The Solution

RegXone ensures the data attributes that are mandated for regulatory trade reporting are available in reference data files, built to specific needs for asset class and exchange coverage requirements, and are compliant with relevant regulatory technical specifications.

The RegXone product has been curated and enhanced for well over a decade with clients, alliance partners and regulators, to build a fit for purpose regulatory reporting data service, proven in use and supported through a powerful community data model.

RegXone is delivered in secure data feeds, customised in the format needed for proprietary enterprise-wide repositories, security master files or EDM vendor solutions, enabling the easy integration and application of regulatory compliance data across multiple trading platforms and workflow systems.

Why REGXONE?

The RegXone service provides clients with access to proven in use and trusted regulatory data for robust transaction reporting of futures, options and OTC cleared contracts internationally.

Key Benefits:

- Minimise risk of non-compliant regulatory reporting
- Deploy trusted, fit for purpose and proven regulatory data
- Trade new products with correct regulatory attributes
- Enhance pre-trade regulatory risk analysis
- Reduce cost of internal regulatory databases
- Raise quality of regulatory client services
- Leverage data community use from peer group
- Pay only for what you need and how often you want it.